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PTO/SB/05 (12/97)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2807.2.14.12

Total Pages

161

First Named Inventor or Application Identifier

Michael H. Myers et al

Express Mail Label No.

EL751290473US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status. See 37 CFR 1.27

3. ☒ Specification *Total Pages* **99**  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) *[Total Sheets]* **59**

5. Oath or Declaration *[Total Pages]* ☐

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).

6. ☐ Incorporation by Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein

**Assistant Commissioner for Patents**ADDRESS TO: **Box Patent Application  
Washington, DC 20231**

7. ☐ Microfiche Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired
16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17. ☒ Other. Express Mail Certificate

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/690,676

**18. CORRESPONDENCE ADDRESS**

- ☒ Customer Number or Bar Code Label



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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

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Date of Deposit: June 13, 2001

I hereby certify that this patent application in the name of Michael H. Myers, Clark C. Guest, John N. Hait, and Peter D. Sahagen for "ORTHOGONAL-CODE, PHOTONIC MULTIPLEXING," together with fifty-nine (59) sheets of drawings; and transmittal letter; are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,



Hal D. Baird  
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Date: June 13, 2001

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